

Electronic Acknowledgement Receipt

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|---|---|
| EFS ID: | 5239756 |
| Application Number: | 10615250 |
| International Application Number: | |
| Confirmation Number: | 8151 |
| Title of Invention: | Method and system for providing principal protection exposure to equity markets |
| First Named Inventor/Applicant Name: | Yi Tso |
| Customer Number: | 32361 |
| Filer: | Walter G. Hanchuk/Dana Casterlin |
| Filer Authorized By: | Walter G. Hanchuk |
| Attorney Docket Number: | 06878.114001 |
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| Application Type: | Utility under 35 USC 111(a) |

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| Submitted with Payment | no |
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File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|-----------------|---|---------------|--|------------------|------------------|
| 1 | Assignee showing of ownership per 37 CFR 3.73(b). | 17209_515.pdf | 464211 badc8fbfe56dd9288ec2c640dac674ddb8cd5146 | no | 2 |

Warnings:

Information:

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|---|-------------------|----------------------|--|--------|---|
| 2 | Power of Attorney | DavidLawrencePOA.pdf | 36397 | no | 1 |
| | | | 5b785fa2148bffd4074d76abc784ae6f1f131609 | | |
| Warnings: | | | | | |
| Information: | | | | | |
| Total Files Size (in bytes): | | | | 500608 | |
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